

Freie Universität Berlin
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 Winter Semester 2016/17

Economic History of Russia & Eurasia

Lecture: Wednesdays 12 – 14, Garystr. 55/A,

Office Hours: Tuesdays, 14-16, Garystr. 55, Room 104

Modules:

Master of Arts in East European Studies: *Konzepte und Kontexte der Osteuropastudien*

This is a course on the economic history of Imperial Russia and the subsequent Soviet Union, which combines historical narratives with formal economic analysis. The course focuses on the 19th and 20th century Russian history which has been subject to different economic and political developments. The first part of the course will focus on the economic system of the Russian Empire. The second part of the course will concentrate in the analytical and structural determinants of communist economic organization with reference to the Soviet Union. The learning outcome is that students are expected to become conversant with the analytical tools and theoretical discussions presented in the literature such as Russian historical statistics, economic institutions as well as economic research in the field of Russian history. Attendance in all sessions is required. Students are required to make a 20-minute presentation in a week of their choice. The presentation serves as participation requirement.

Required Textbooks:

1. Harrison, Mark (Ed.). *Guns and Rubles: the Defense Industry in the Stalinist State*. Yale-Hoover Series on Stalin, Stalinism, and the Cold War. Yale University Press 2008.
2. Harrison, Mark. *Accounting for War: Soviet Production, Employment, and the Defense Burden, 1940-1945*. Cambridge University Press, Russian, Soviet, & Post-Soviet Studies 1996.
3. Gregory, Paul. *The Political Economy of Stalinism: Evidence from the Soviet Secret Archives*. Cambridge University Press 1994.
4. Kornai, Janos. *The Socialist System: The Political Economy of Communism*. Princeton University Press 1992.
5. Roland, Gérard. *Transition and Economics: Politics, Markets and Firms*. MIT Press 2004.

Grade: Research paper, presentation

Economics of serfdom

- Weir David R. "Tontines, Public Finance and Revolution in France and England, 1688-1789", *Journal of Economic History*, Vol. 49, No. 1 (March 1989): 95-124.
- White Eugene Nelson. "The French Revolution and the Politics of Government Finance", *Journal of Economic History*, Vol. 55, No. 2 (June 1995): 227-255.
- Powell Robert. "Monopolizing Violence and Consolidating Power", *Quarterly Journal of Economics* (2013): 807-859.
- Dormar E.D., Machina M.J. "On the Profitability of Russian Serfdom", *The Journal of Economic History*, 1984, 44(4): 919-955;

- Mironov B.N. (1992). "Consequences of the price revolution in the eighteenth century Russia", *Economics History Review*, XLV, 3: 457-78.
- Markevich Andrei and Mark Harrison. "Great War, Civil War, and Recovery: Russia's National Income, 1913 to 1928", *The Journal of Economic History* 71 (3): 672 - 703;
- Markevich, Andrei and Ekaterina Zhuravskaya. "Economic Effects of the Abolition of Serfdom: Evidence from the Russian Empire", Mimeo, 2015.
http://papers.ssrn.com/sol3/papers.cfm?abstract_id=2514964 ;
- Moon David. "Reassessing Russian Serfdom", *European History Quarterly*, 1996, 26: 483-526;

The Gold Standard

- Drummond Ian M. "The Russian Gold Standard, 1897-1914", *Journal of Economic History*, Vol. 36, No. 3 (September 1976): 663-688.
- Crisp Olga. "Russian Financial Policy and the Gold Standard in the End of the Nineteenth Century", *Journal of Economic History*, Vol. 6, No. 2 (December 1953): 156-172.
- Crisp Olga. "The Russian Liberals and the 1906 Anglo-French Loan to Russia", *The Slavonic and East European Review*, Vol. 39, No. 93 (June 1961): 497-511.
- Garvy George. "Banking under the Tsars and the Soviets", *Journal of Economic History* Vol. 32, No. 4 (December 1972): 869-893.
- Von Laue T.H. "A Secret Memorandum of Sergei Witte on the Industrialization of Imperial Russia", *Journal of Modern History*, Vol. 26, No. 1 (March 1954): 60-74.
- Barkai, Haim. "The Macro-Economics of Tsarist Russia in the industrialization Era: Monetary Developments, the Balance of Payments and the Gold Standard", *The Journal of Economic History*, 1973, 33 (2): 339-371;

Agrarian sector – Stolypin reform

- Borodkin, Leonid, Brigitte Granville, and Carol S. Leonard. "The Rural/Urban Wage Gap in the Industrialisation of Russia, 1884-1910," *European Review of Economic History*, 2008 12: 67-95;
- Castañeda Dower and Markevich (2014). "Labor Misallocation and Mass Mobilization: Russian Agriculture during the Great War". Mimeo
http://papers.ssrn.com/sol3/papers.cfm?abstract_id=2239084 ;
- Castaneda Dower Paul, and Andrei Markevich. "Land Tenure and Productivity in Agriculture: A Case of Stolypin Reform in Late Imperial Russia". Mimeo, 2013
http://papers.ssrn.com/sol3/papers.cfm?abstract_id=2361860 ;
- Chernina, Eugenia, Paul Castañeda Dower and Andrei Markevich. "Property Rights and Internal Migration: The Case of the Stolypin Agrarian Reform in the Russian Empire", *The Journal of Development Economics*, 2014, 110: 191-215;
- Nafziger Steven, Land redistribution and the Russian Peasant Commune in the 19th Century. Mimeo. http://www.ekh.lu.se/ehes/paper/RepartitionsPaper_June2007.pdf
- Nafziger, Steven. "Peasant Communes and Factor Markets in Late Nineteenth Century Russia", *Explorations in Economic History*, 2010, 47(4): 381-402;
- Nafziger, Steven. "Serfdom, Emancipation, and Off-Farm Labor Mobility in Tsarist Russia", *Economic History of Developing Regions*, 2012, 27(1): 1-37;

Pre-revolution industrialization and revolution

- Gregory Paul and John Sailors. "Russian Monetary Policy and Industrialization, 1861-1913", *Journal of Economic History*, Vol. 36, No. 4 (December 1976): 836-851.
- Goldsmith, Raymond W. "The Economic Growth of Tsarist Russia 1860-1913", *Economic Development and Cultural Change*, 1961, 9(3): 441-475.
- Kahan Arcadius. "Government Policies and the Industrialization of Russia", *The Journal of Economic History*, 1967, 27(4): 460-477;

- Dempster G. "The fiscal background of the Russian Revolution", *European Review of Economic History*, 2006, 10: 35-50;
- Nafziger, Steven and Peter Lindert. "Russian Inequality on the Eve of Revolution", *The Journal of Economic History*, 2014, 74(3): 767-798;

Transition from Market to plan

- Gatrell, Peter, and Mark Harrison. "The Russian and Soviet Economy in Two World Wars", *The Economic History Review*, 1993, 46(3);
- Markevich Andrei and Mark Harrison. "Great War, Civil War, and Recovery: Russia's National Income, 1913 to 1928", *The Journal of Economic History*, 2011, 71, (3): 672-703;
- Allen, Robert. "Agricultural Marketing and the Possibilities for Industrialization in the Soviet Union in the 1930s", *Explorations in Economic History*, 1997, 34: 387-410;
- Gregory Paul and Aleksei Tikhonov. "Central Planning and Unintended Consequences: Creating the Soviet Financial System, 1930-1939", *Journal of Economic History*, Vol. 60, No. 4, 1017-1040, December 2000.
- Johnson, J., and Temin, P. "The Macroeconomics of NEP", *The Economic History Review*, 1993, 46(4);
- Erlich, Alexander. "Preobrazhenski and the Economics of Soviet Industrialization", *The Quarterly Journal of Economics*, 1950, 64(1);
- Ellman, Michael. "On a Mistake of Preobrazhensky and Stalin", *The Journal of Development Studies*, 1978, 14(3);

The Soviet System of Incentives

- Weitzman Martin L. "The New Soviet Incentive Model", *Bell Journal of Economics*, Vol. 7, No. 1 (Spring 1976): 251-257.
- Murrell Peter, "The Performance of Multi-period Managerial Incentive Schemes", *American Economic Review*, Vol. 69, No. 5 (December 1979): 934-940.
- Bonin John P. and Alan J. Marcus, "Information, Motivation and Control in Decentralized Planning: The Case of Discretionary Managerial Behavior", *Journal of Comparative Economics*, Vol. 3, No. 3 (September 1979): 235-253.

Stalin's command

- Hunter, H., and Szyrmer, J.M., "Testing Early Soviet Economic Alternatives", *Slavic Review*, 1991, 50(2);
- Hunter, H. "The Overambitious First Soviet Five-Year Plan", *Slavic Review*, 1973, 32(2): 237-257.
- Gregory, Paul R., and Andrei Markevich (2002). "Creating Soviet Industry: The House That Stalin Built", *Slavic Review*, 2002, 61(4): 787-814;
- Gregory, Paul R., and Tikhonov, Aleksei. "Central Planning and Unintended Consequences: Creating the Soviet Financial System, 1930-1939", *The Journal of Economic History*, 2000, 60(4);
- Lazarev, Valery and Gregory, P. "Commissars and cars: A case study of the political economy of dictatorship", *The Journal of Comparative Economics* 2006, 31(1): 1-19.
- Harrison, Mark. "Prices, Planners, and Producers: an Agency Problem in Soviet industry, 1928-1950", *The Journal of Economic History*, 1998, 58 (4);
- Nove, Alec. "The Problem of 'Success Indicators' in Soviet Industry", *Economica*, 1958, 25(97);
- Markevich Andrei and Mark Harrison. "Quality, experience and monopoly: the Soviet market for weapons under Stalin", *Economic History Review*, Vol. 59, No. 1, 113-142, February 2006.

Terror and punishment in the Soviet system

- Belova Eugenia and Paul Gregory. "Political economy of crime and punishment under Stalin", *Public Choice*, 2009, 140: 463-478;
- Gregory Paul and Mark Harrison. "Allocation under Dictatorship: Research in Stalin's Archives", *Journal of Economic Literature*, Vol. 43, No. 3, 721-761, September 2005.
- Gregory, Paul, Phillip Schroder and Konstantin Sonin. "Rational Dictator and the Killing Innocent: Data from Stalin's Archives", *The Journal of Comparative Economics*, 2011, 39: 34-42.
- Harrison, Mark. "The Fundamental Problem of Command: Plan and Compliance in a Partially Centralized Economy", *Comparative Economic Studies*, 2005, 47(2): 296-314;
- Miller, Marcus and Jennifer Smith. "In the shadow of the Gulag: worker discipline under Stalin", *Journal of Comparative Economics*, 2015.

Late Socialism

- Erkin Bairam. "Elasticity of Substitution, Technical Progress and Returns to Scale in Branches of Soviet Industry: A New CES Production Function Approach", *The Journal of Applied Econometrics*, 1991, 6(1): 91-96;
- Easterly, William, and Fischer, Stan. "The Soviet Economic Decline", *World Bank Economic Review*, 1995, 9(3);
- Harrison, Mark. "Trends in Soviet Labour Productivity, 1928-1985: War, Postwar Recovery, and Slowdown", *European Review of Economic History*, 1998, 2(2);
- Kontorovich, Vladimir. "Lessons of the 1965 Soviet Economic Reform", *Soviet Studies*, 1988, 40(2): 308-316;
- Markevich, Andrei and Ekaterina Zhuravskaya. "M-form hierarchy with poorly diversified divisions: A case of Khrushchev's reform in Soviet Russia", *The Journal of Public Economics*, 2011, 95(11):1550-60;
- Ofer, Gur. "Soviet Economic Growth: 1928-1985", *The Journal of Economic Literature*, 1987, 25;
- Weitzman, Martin L. "Soviet Postwar Growth and Capital-Labor Substitutability", *American Economic Review*, 1970, 60: 676-692.

Economics of shortage

- Harrison, Mark, and Kim, Byung-Yeon. "Plans, Prices, and Corruption: The Soviet Firm Under Partial Centralization, 1930 to 1990", *The Journal of Economic History*, 2006, 66(1): 1-41;
- Kim, Byung-Yeon. "The Income, Savings, and Monetary Overhang of Soviet Households", *The Journal of Comparative Economics*, 1999, 27;
- Kim, Byung-Yeon. "Causes of Repressed Inflation in the Soviet Consumer Market: Retail Price Subsidies, the Siphoning Effect, and the Budget Deficit", *The Economic History Review*, 2002, 55(1): 105-127;
- Shleifer, Andrei, and Robert W. Vishny. "Pervasive Shortages under Socialism", *RAND Journal of Economics*, 1992, 23(2);
- Offer, Gur and Joyce, Pickergill. "Soviet Household Saving : A cross-section Study of Soviet Emigrant Families", *The Quarterly Journal of Economics*, 1980, 95(1): 121-144;
- Kornai Janos. "Resource-Constrained vs. Demand-Constrained Systems", *Econometrica*, Vol. 47, No. 4 (July 1979): 801-819.

Collapse of the system

- Anderson, Gary M., and Peter J. Boettke. "Soviet Venality: a Rent-Seeking Model of the Communist State", *Public Choice*, 1997, 93(1-2);
- Belova, Eugenia, and Paul R. Gregory. "Dictators, Loyal and Opportunistic Agents: the Soviet Archives on Creating the Soviet Economic System", *Public Choice*, 2002, 113 (3-4);
- Bergson, Abram. "The USSR Before the Fall: How Poor and Why", *The Journal of Economic Perspectives*, 1991, 5(4): 29-44;
- Harrison, Mark "Coercion, Compliance, and the Collapse of the Soviet Command Economy", *The Economic History Review*, 2002, 55(3);

Outlook

- Castañeda Dower, Paul and Andrei Markevich (2014). "A History of Resistance to Privatization in Russia", *The Journal of Comparative Economics*, 42(4): 855-873;
- Grosfeld, I., A. Rodnyansky and E. Zhuravskaya (2013). "Persistent Anti-Market Culture: A Legacy of the Pale of Settlement after the Holocaust", *American Economic Journal: Economic policy*, 5(3): 189-226;